ocket No.: 042390.P11771

## FEB 1 4 2002 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ke Application of:

Traw, et al.

Application No.: 09/966,676

Filed: September 28, 2001

For:

METHOD AND APPARATUS TO

PROVIDE A PERSONALIZED

**CHANNEL** 

Art Group: 2661

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Technology Center 2600

Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 AND CROSS-REFERENCE UNDER 37 C.F.R. §1.78(a)(2) TO RELATED APPLICATIONS

## Sir/Madam:

Applicants, in accordance with their duty of disclosure under 37 CFR §1.56 and in accordance with 37 CFR §1.97 and 37 CFR §1.78(a)(2), hereby disclose and cross-reference to the following related patent applications all of which are assigned or under an obligation to assign to the same assignee as the above referenced patent application. Also enclosed is a copy of Information Disclosure Citation Form PTO/SB/08. Copies of the references cited on PTO/SB/08 are enclosed herewith. It is respectfully requested that the cited references be considered and the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.

(1) A U.S. Patent Application entitled <u>Signaling Method and Apparatus to Provide</u>

<u>Content on Demand in a Broadcast System</u> by Jay Connelly, U.S. Application No. 09/533,048, filed March 22, 2000, attorney docket reference no. 042390.P8387.

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- (2) A U.S. Pa Application entitled Method and Applicatus to Determine Broadcast

  Content and Scheduling in a Broadcast System by Jay Connelly, U.S. Application No.

  09/532,034, filed March 22, 2000, attorney docket reference no. 042390.P8388.
- (3) A U.S. Patent Application entitled Method and Apparatus to Provide Client-Side Filtering in a Broadcast System by Jay Connelly, U.S. Application No. 09/533,024, filed March 22, 2000, attorney docket reference no. 042390.P8699.

Pursuant to 37 CFR §1.97, this submission is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

This information disclosure statement is being filed before receiving a first Office Action on the merits of the above referenced U.S. Patent Application. Therefore, no filing fee is necessary. However, if any petition is needed it is hereby petitioned and to the extent any fee is due, please charge any shortage in fees in connection with the filing of this paper to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 23, 2002

ERIC T. KING Reg. No. 44,188

**CERTIFICATE OF MAILING** 

12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (714) 557-3800 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 2023 I on: January 23, 2002

Susan McFarlane

1/23/2002 Date

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PTO/SB/08B (08-00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known Application Number 09/966,676 Filing Date September 28, 2001 First Named Inventor Brendan Traw Group Art Unit 2611 **Examiner Name** Unassigned Attorney Docket Number 42390P11771

**OTHER ART - NON PATENT LITERATURE DOCUMENTS** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, Examiner Cite magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or T² Initials\* No.1 country where published. Barry Smith & Paul Cotter; A Personalized Television Listing Service; Communications of the ACM; August 2000 Vol. 43. No. 8. RECEIVED
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Signature	Considered
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'Unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.